



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Cefali, Eugenio A.

Application No.:

08/962027

Filed:

Title:

October 31, 1997

Group No:

1615

Examiner:

William Benston, Jr.

RECEIVED

TECH CENTER 1600/2900

INTERMEDIATE RELEASE NICOTINIC ACID COMPOSITIONS FOR

TREATING HYPERLIPIDEMIA HAVING UNIQUE CMAX, TMAX

AND AUC BIOPHARMACEUTICAL CHARACTERISTICS

CERTIFICATION UNDER 37 C.F.R. § 1.8(a) I certify that, on the date shown below, this correspondence is being deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 with sufficient postage as first class mail.

ASSISTANT COMMISSIONER FOR PATENTS Washington, D.C. 20231

POWER OF ATTORNEY BY ASSIGNEE OF ENTIRE INTEREST (REVOCATION OF PRIOR POWERS)

As Assignee of record of the entire interest of the above-identified patent application, all powers of attorney previously given are hereby revoked, and the following attorney is hereby appointed to prosecute and transact all business in the Patent and Trademark Office connected therewith:

> Peter J. Manso, Reg. No. 32,264 Akerman, Senterfitt & Eidson, P.A. Attorneys at Law

Las Olas Centre, Suite 950 450 East Las Olas Boulevard Fort Lauderdale, Florida 33301-2227 Telephone: 954-463-2700

Facsimile: 954-463-2224

Please send all correspondence and direct all telephone calls regarding this application to Peter J. Manso at the address and telephone number provided above.

Attached to this Power is a "Statement Under 37 C.F.R. §3.73(b)."

Signature

Assignee: Kos Pharmaceuticals, Inc.

Title: President & CEO



PATENT

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ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

STATEMENT UNDER 37 C.F.R. § 3.73(b)--ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION

The Assignee of the entire right, title and interest hereby seeks to take action in the PTO in this matter.

I, the person signing below, state that I am empowered to sign this statement on behalf of the Assignee. Ownership by the Assignee is established by an assignment from the inventor(s) of the matter identified above, which was recorded in the PTO at Reel 9080, Frame 0471.

A copy of the assignment is attached to this Statement.

Signature

Assignee: Kos Pharmaceuticals, Inc.

Title:

President & CEO





UNITED S. FES DEPARTMENT OF COMMERCE Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

JUNE 03, 1998

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JENKENS & GILCHRIST, P.C. PETER J. MANSO 1100 LOUISIANA, SUITE 1800 HOUSTON, TX 77002

UNITED STATES PATENT AND TRADEMARK OFFICE NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/03/1998

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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

CEFALI, EUGENIO A.

DOC DATE: 03/18/1998

ASSIGNEE:

KOS PHARMACEUTICALS, INC. 1001 SOUTH BAYSHORE DRIVE, SUITE 2502 MIAMI, FLORIDA 33131

SERIAL NUMBER: 08962027

PATENT NUMBER:

FILING DATE: 10/31/1997

ISSUE DATE:

MAURICE CARTER, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

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Patent and Trademark Office

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| the Honorable Commissioner of Pater | nts and 1 rease record the attached on | iginal documents or copy thereof. | |
| Name of conveying party(ies): Eugenio A. Cefali 5221 NW 78th Terrace Lauderhill, FL 33351 | JUN 2 3 1999 3 | Name and address of receiving party(ies): Name: Kos Pharmaceuticals, Inc. Internal Address: 1001 South Bayshore Drive, Suite 2502 Miami, Florida 33131 | |
| dditional name(s) of conveying party(ies | i) attached? □ yes ■ no | Street Address: 1001 South Bayshore Drive, Suite 2502 | |
| Nature of Conveyance: | TRADEMARK | | |
| AssignmentSecurity AgreementOther | □ Merger □ Change of Name | City Miami State FL ZIP 33131 Additional name(s) & address(es) attached? Yes No | |
| Execution Date: <u>March 18.</u> | 1998 | | |
| Application number(s) or patent numb | er(s): with a new application, the execution date of the a | pplication is: | |
| A. Patent Application No.(s) | | B. Patent No.(s) | |
| 08/962,027 | | | |
| | Additional numbers attached? | □ Yes ■ No | |
| Name and address of party to v should be mailed: | whom correspondence concerning document | Total number of applications and patents involved: | |
| Name: Peter J. Manso Internal Address: Jenkens & Gilchrist, P.C. 1100 Louisiana, Suite 1800 Street Address: 1100 Louisiana, Suite 1800 | | 7. Total fee (37 CFR 3.41): | |
| City: Houston State: Texa | s_ ZIP: <u>77002</u> | *If check is not received with this correspondence or additional fees are required, please charge to deposit account 10-0447/32892.27PJM. | |
| | | 8. Deposit account number: (Attach duplicate copy of this page if paying by deposit account) | |
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| 998 VBROWN 00000022 08962027 81 40. | d belief, the foregoing information is true and conf | tect and any attached copy is a true copy of the original document. | |
| Peter J. Manso Name of Person Signing | Signature | Date | |
| al number of pages comprising cover sl | neet: 1 | | |

CERTIFICATE OF MAILING 37 C.F.R. 1.10

I hereby certify that this document is being deposited with the United States Postal Service on this 2nd day of April, 1998, in an envelope as "Express Mail Post Office ssee". Mailing Label No. EE507465869US, addressed to the Assistant Commissioner of Patents, Box Assignments, Washington, D.C. 20231.

Printed Name: PENNYE A. MOSES

ASSIGNMENT OF ENTIRE INTEREST

WHEREAS, I, the undersigned inventor, of residence as listed, having invented certain new and useful improvements as below entitled, for which application for United States Letters Patent is made, said application having been filed on the date set forth below; and

WHEREAS, KOS PHARMACEUTICALS, INC. (hereinafter referred to as "Assignee"), with an address of 1001 South Bayshore Drive, Suite 2502, Miami, Florida 33131, desires to acquire my entire right, title and interest in and to the invention, and in and to the said application and any Letters Patent that may issued thereon;

NOW, THEREFORE, for and in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I assign to Assignee, all right, title and interest in and to said invention and in and to said application and all patents which may be granted therefor, and all divisions, reissues, continuations, continuations-in-part and extensions thereof; and I hereby authorize and request the Commissioner of Patents and Trademarks to issue all patents for said invention, or patents resulting therefrom, insofar as my interest is concerned, to Assignee.

I also assign to Assignee, all right, title and interest to the invention disclosed in said application throughout the world, including the right to file applications and obtain patents, utility models, industrial models and designs for said invention in its own name throughout the world including all rights to publish cautionary notices reserving ownership of said invention and all rights to register said invention in appropriate registries; and I further agree to execute any and all powers of attorney, applications, assignments, declarations, affidavits, and any other papers in connection therewith necessary to prefect such right title and interest in Assignee.

I will communicate to Assignee any facts known to me respecting any improvements; and, at the expense of Assignee, I will testify in any legal proceedings, sign all lawful papers, execute all divisional, continuation, continuation-in-part, reissue and substitute applications, make lawful oaths and declarations, and generally do everything possible to vest title in Assignee and to aid Assignee to obtain and enforce proper protection for said invention in all countries

This Assignment shall be binding on the parties' successors, assigns and legal representatives.

Title of Invention:

Intermediate Release Nicotinic Acid Compositions for Treating

Hyperlipidemia Having Unique Cmax, Tmax and Auc Biopharmaceutical

Characteristics

Serial No.:

08/962,027

Date Filed:

October 31, 1997

Signature of Inventor:

Inventor's Name:

Eugenio A. Cefali

Residence:

5221 NW 78th Terrace

Lauderhill, Florida 33351

Citizenship:

USA

Date:

March 18, 1998

STATE OF FLORIDA

Š

COUNTY OF BROWARD

BEFORE ME this ______ day of _______, 1998, personally appeared EUGENIO A. CEFALI, known to me to be the person who is described and who executed the foregoing assignment instrument and acknowledged to me that he executed the same of his own free will for the purpose therein expressed.

Notary Public